

Analysis of an Analog Optical Neural Network

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Optical Neural Networks

- Neural networks: powerful machine learning model, but energy consuming [1]
- Potential solution: Optical Neural Networks (ONN) [2]
- Requirements: Reprogrammable, Energy Efficient, Computational Speed

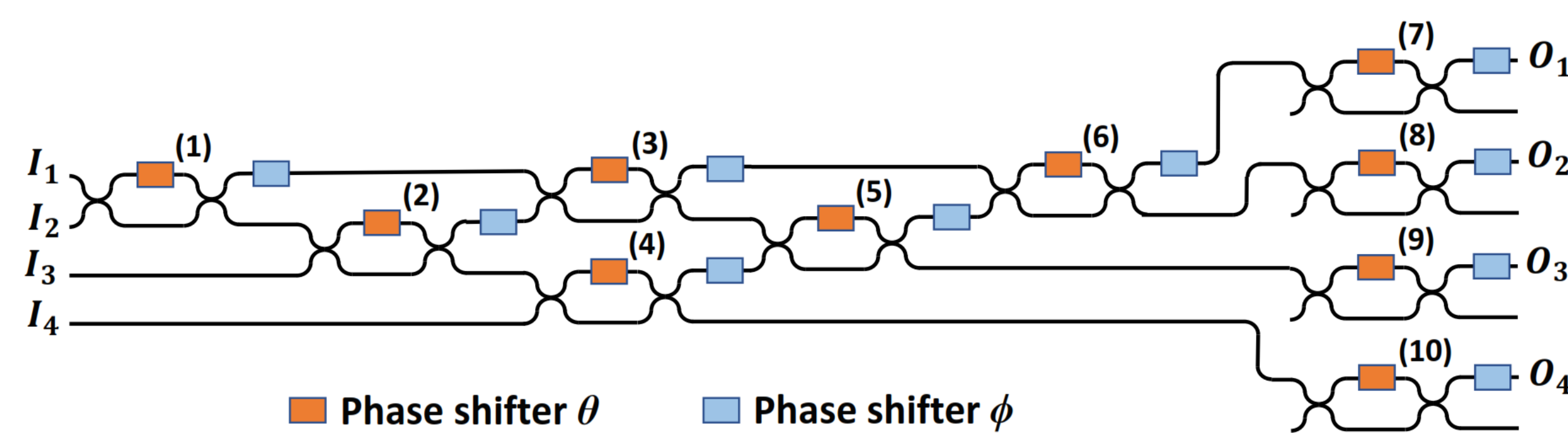


Figure 1. Schematic of the ONN consisting of ten MZIs, each of which configured with two phase shifters.

Benefits

- **Reprogrammable:** Thermal phase shifters allows for full reconfiguration of optical chip (i.e., change weights $[W]_{4 \times 4}$ in Fig. 2)
- **Energy efficient:** Inherent parallelism present in optics, can efficiently compute matrix multiplication using waveguides and beam splitters [3]
- **Computational Speed:** processes matrix multiplication in linear time as opposed to quadratic time for GPUs [4].

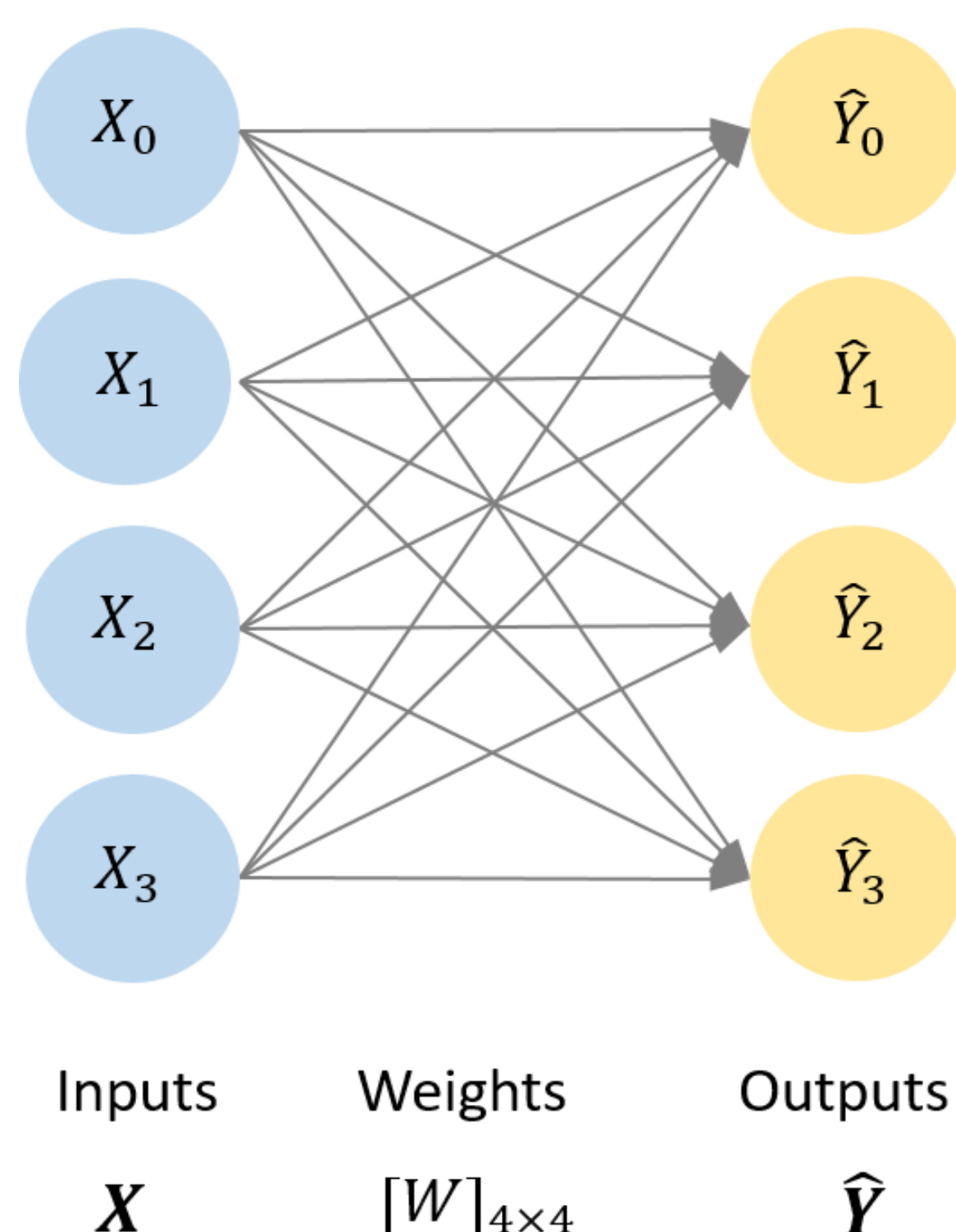


Figure 2. Schematic of a single layer neural network.

Forward Propagation

Inputs: $\mathbf{X} \in \mathbb{R}^4$

Ground Truth: $\mathbf{Y} \in \mathbb{R}^4$

Output: $\hat{Y}_j = \sum_{i=0}^3 X_i * W_{i,j}$ for $j = 0,1,2,3$

Matrix Notation: $\hat{\mathbf{Y}} = \mathbf{X} * [\mathbf{W}]_{4 \times 4}$

Prediction: $\text{argmax}(\hat{\mathbf{Y}})$

Dataset

- Created synthetic dataset to characterize single layer ONN.
- Dataset is linearly separable, i.e., perfectly classifiable.

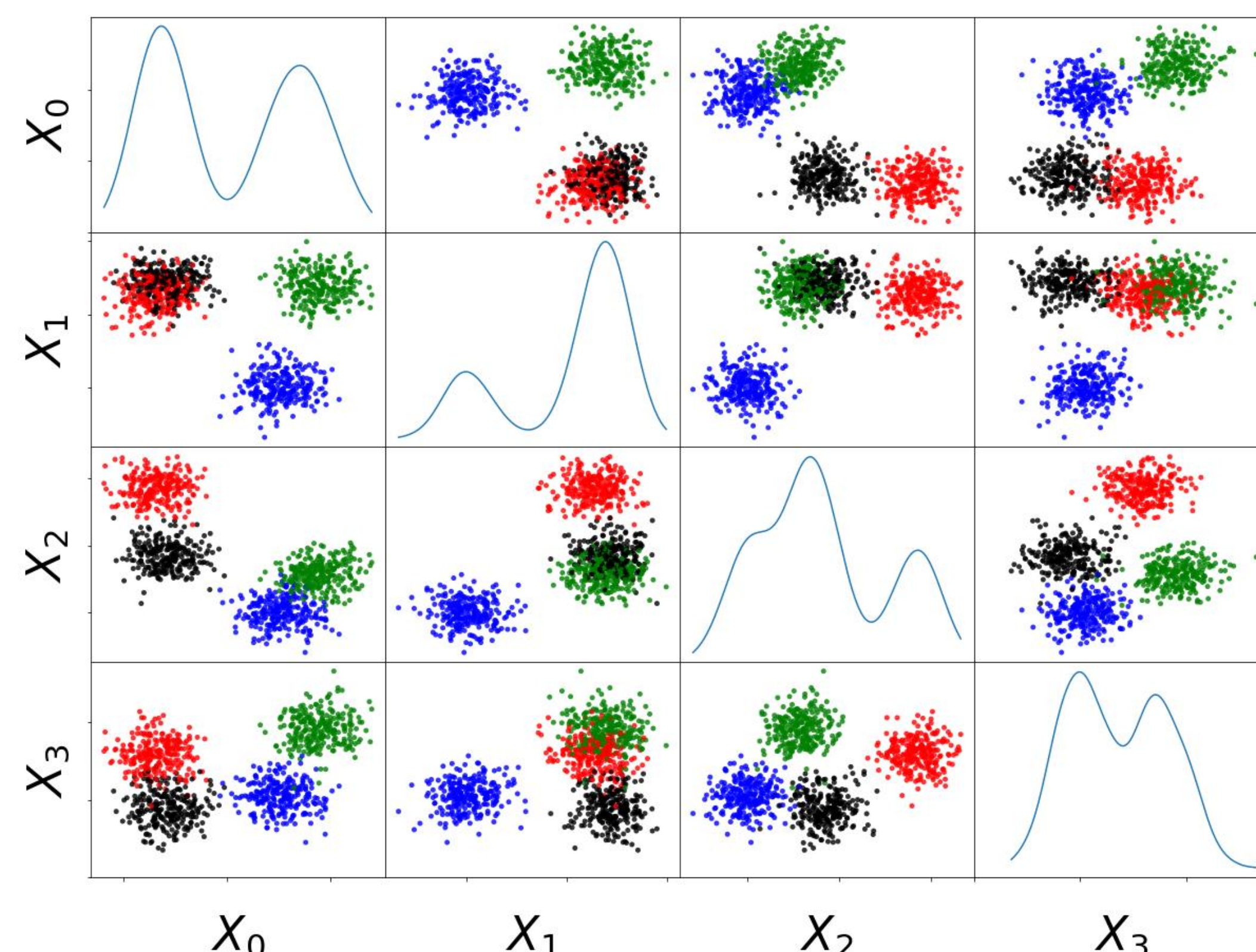


Figure 3. Scatter plot of the dataset. X_j , for $j = 0, 1, 2, 3$ are the features of each class indicated by the different colors.

Sources of Phase Error

- Thermal crosstalk between MZIs
- MZI voltage source inaccuracies
- Silicon photonic fabrication process variations

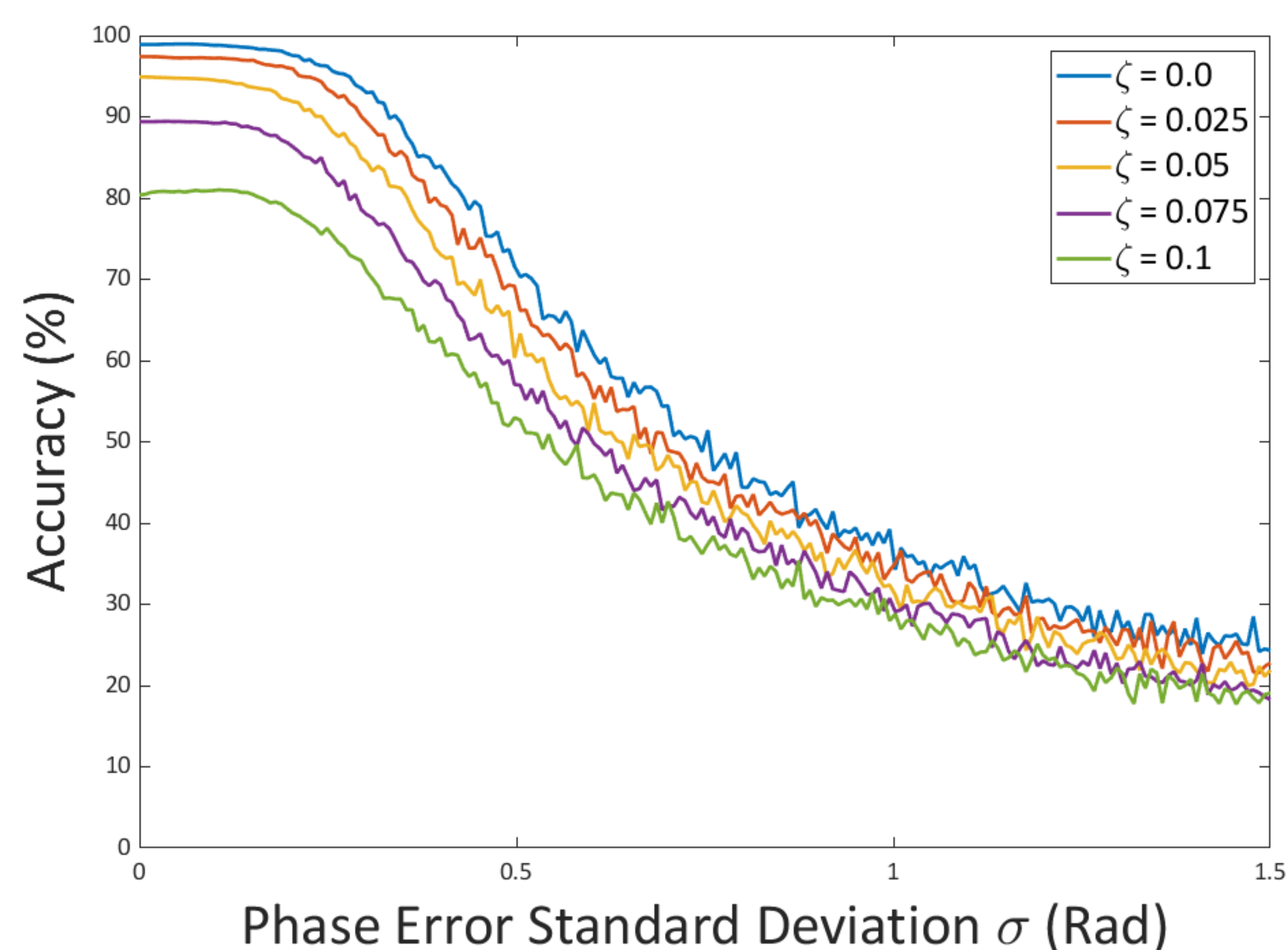


Figure 4. Simulation results of effect of phase noise on accuracy. Increasing the threshold zeta decreases the accuracy of the neural network.

Stochastic Optimization Algorithm

- Start with 20 random phases θ_i and ϕ_i for $i = 1, 2, 3, \dots, 10$
- Check accuracy
- While accuracy is smaller than required accuracy:
 - Pick one random phase
 - Set it to a random value, recheck accuracy
 - If accuracy increased:
 - Keep changed phase
 - Else:
 - Revert back to previous phase

Condition for Accuracy

Neural Network correctly classifies a sample if:

$$\text{argmax}(\hat{\mathbf{Y}}) == \text{argmax}(\mathbf{Y})$$

Extra Condition for Accuracy

Extra condition, to consider experimental phase error:

$$\hat{Y}_{Max} - \hat{Y}_{Second Max} > \zeta$$

Conclusion

- A single layer ONN was theoretically investigated.
- Using a stochastic optimization algorithm, the optimal phases for the ONN were determined.
- An arbitrary four feature four target dataset was classified.
- The effect of phase errors on the classification accuracy of the ONN was analyzed.
- **Future work:** more complex ONNs with higher energy efficiency and lower phase errors.

Contact

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